



NASA OFFICE OF INSPECTOR GENERAL

OFFICE OF INVESTIGATIONS

SUITE 8U71, 300 E ST SW
WASHINGTON, D.C. 20546-0001

NOV 08 2019

Emma Best
c/o MuckRock News
Dept MR 74288
411A Highland Ave
Somerville, MA 02144-2516
Via Email: 79432-00195920@requests.muckrock.com

Re: Freedom of Information Act (FOIA) Request #19-OIG-F-00813/2019-51

Dear Ms. Best:

This letter concerns your August 26, 2019, Freedom of Information Act (FOIA) request to the National Aeronautics and Space Administration (NASA) Office of Inspector General (OIG). Your FOIA request was assigned tracking #19-OIG-F-00813/2019-51. Pursuant to the FOIA (5 U.S.C. § 552), you requested the following information:

“a copy of the list or printout or database listing of Inspector General investigations closed/reports completed during calendar year 2015. If the list is organized by FY rather than calendar year, the FY 2015 list is sufficient for this request. If no central list or database exists, then I request copies of the cover sheet or first page of each IG report completed during calendar year 2015.”

Some portions of the enclosed responsive document are non-releasable based upon FOIA exemptions 5 U.S.C. § 552(b)(6), 5 U.S.C. § 552(b)(7)(C), and 5 U.S.C. § 552(b)(7)(E). This exempt information has been redacted. Exemption (b)(6) of the FOIA exempts from disclosure personnel and similar files, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy. Exemption (b)(7)(C) provides protection for law enforcement information, the disclosure of which “could reasonably be expected to constitute an unwarranted invasion of personal privacy”. Exemption (b)(7)(E) affords protection to all law enforcement information that “would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law.”

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c) (2006 &

Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should be taken as an indication that excluded records do, or do not, exist.

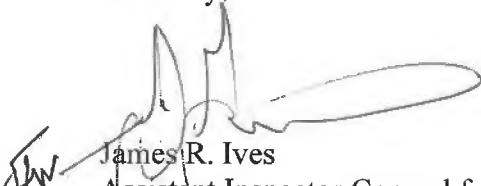
You may contact our FOIA Public Liaison, Francis P. LaRocca at 202-358-2575 for any further assistance and to discuss any aspect of your request. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

You also have the right to appeal this initial determination to the Deputy Inspector General. Pursuant to 14 CFR §1206.700(b), the appeal must (1) be in writing; (2) be addressed to the following:

NASA, Office of Inspector General
Headquarters
300 E Street, SW, Suite 8V39
Washington, D.C. 20546-0001
Attn: George A. Scott, Deputy Inspector General;

(3) be identified clearly on the envelope and in the letter as "Freedom of Information Act Appeal"; (4) include a copy of the request for the Agency record and a copy of the adverse initial determination; (5) to the extent possible, state the reasons why the requester believes the adverse initial determination should be reversed; and (6) must be postmarked and sent to the Deputy Inspector General within 90 calendar days of the date of receipt of the initial determination.

Sincerely,



James R. Ives
Assistant Inspector General for Investigations
OIG FOIA Officer – Investigations

Enclosure

Cases Closed - CY2015 (MuckRuck FOIA)

Case Number	Case Title	Closing Date	Allegations
O-IA-07-0413-HL-O	(b)(6), (b)(7)(C)	10/21/2015	Conflict of Interest
C-GO-09-0220-O	Civil Results: Civil Settlement 10/10/2012 (b)(6), (b)(7)(C) Civil Settlement Amt \$15,000.00 (b)(6), (b)(7)(C)	7/28/2015	Computer Crime
O-AR-09-0252-S	Criminal Results: Criminal Indictment 11/23/2009 (b)(6), (b)(7)(C)	10/26/2015	Export Control Violations; Violation of NASA Regulations
O-LB-10-0105-O	AEROFLEX INCORPORATED	9/25/2015	Cost Mischarging/Defective Pricing/False Claims
O-NJ-10-0136-HL-O	COMBUSTION PROPULSION AND BALLISTIC TECHNOLOGY CORPORATION, et al.	12/14/2015	Procurement Irregularities; SBIR/STTR Fraud
O-GO-10-0181-S	Admin Actions: Action Taken 8/27/2014 Pennsylvania State University SCIENCE SYSTEMS AND APPLICATIONS, INC., ET AL.	1/7/2015	Conflict of Interest; Grant Fraud; Non-Criminal Cases
O-JP-10-0323-O	URGILES, EDUARDO R.	3/6/2015	Other Federal Crimes on NASA Facilities; Theft/Conversion of Gov't Property or Funds
O-GO-10-0415-O	Criminal Results: Criminal Indictment 8/22/2014 Urgiles, Eduardo Rafael Criminal Conviction 12/17/2014 Urgiles, Eduardo Rafael Criminal Sentencing 2/26/2015 Urgiles, Eduardo Rafael	7/14/2015	Antitrust Violations
C-GO-11-0063-S	BELTSVILLE INDUSTRIES GROUP INC., ET AL. Proactive Project - Review of Banking Records	4/6/2015	Proactive/Project Cases

O-ST-11-0169-0	DESERT BEAM TECHNOLOGIES LLC, ET AL.	5/12/2015	False Statements; SBIR/STTR Fraud; Theft/Conversion of Gov'n't Property or Funds
O-NJ-11-0207-0	THERMACORE INCORPORATED ET AL	10/19/2015	False Claim; SBIR/STTR Fraud
Civil Results:			
Civil Settlement 10/20/2014 Thermacore Incorporated Civil Settlement Amt \$965,000.00 Thermacore Incorporated			
C-JP-11-0404-0	(b)(6), (b)(7)(C)	9/9/2015	Computer Crime
Criminal Results:			
Criminal Indictment 12/16/2013 (b)(6), (b)(7)(C)			
Criminal Sentencing 12/22/2014 (b)(6), (b)(7)(C)			
C-GO-11-0471-S	(b)(6), (b)(7)(C)	3/11/2015	Computer Crime; Computer Crime - Child Pornography
O-AR-12-0001-S	PROACTIVE PROJECT: SCREENING OF NAIS ALERT TRAFFIC FOR FRAUD INDICATORS	12/21/2015	Proactive/Project Cases
O-AR-12-0188-0	IRON MOUNTAIN (QUI TAM)	1/16/2015	False Claim
Civil Results:			
Civil Settlement 12/17/2014 Iron Mountain Civil Settlement Amt \$44,500,000.00 Iron Mountain			
O-NJ-12-0191-0	(b)(6), (b)(7)(C)	9/18/2015	False Claim; Other Federal Crimes
Admin Actions:			
Action Taken 8/21/2015 (b)(6), (b)(7)(C)			
O-MA-12-0258-0	(b)(6), (b)(7)(C)	5/20/2015	Theft/Conversion of Gov'n't Property or Funds
Admin Actions:			
Action Taken 5/12/2015 (b)(6), (b)(7)(C)			
Criminal Results:			
Criminal Indictment 2/7/2014 (b)(6), (b)(7)(C)			
C-GO-12-0297-0	(b)(6) (b)(7)(C) (aka: Zyklon B)	7/7/2015	Computer Crime
Criminal Results:			
Criminal Sentencing 4/10/2015 (b)(6), (b)(7)(C)			
Criminal Conviction 4/10/2015 (b)(6), (b)(7)(C)			
Criminal Restitution Amt \$6,693.00 (b)(6), (b)(7)(C)			

C-AR-12-0305-S	TOR Project - Project	1/5/2015	Computer Crime
O-KE-12-0428-O	ANTIGUA, Roy M.	3/31/2015	Other Federal Crimes
Criminal Results:			
	Criminal Indictment 10/8/2014 Antigua, Roy M		
	Criminal Sentencing 3/23/2015 Antigua, Roy M		
	Criminal Conviction 1/6/2015 Antigua, Roy M		
	Criminal Restitution Amt \$114,000.00 Antigua, Roy M		
	Criminal Indictment 5/9/2014 Antigua, Roy M		
O-NJ-12-0429-O	ASSURANCE TECHNOLOGY CORPORATION, ET AL.	2/24/2015	False Claim; Safety Issues
Admin Actions:			
	Action Taken 8/27/2014 Assurance Technology Corporation		
O-MA-12-0440-O	JONES, Douglas Clement	3/23/2015	Other Federal Crimes
Admin Actions:			
	Action Taken 2/27/2015 Jones, Douglas Clement		
Criminal Results:			
	Criminal Indictment 6/30/2014 Jones, Douglas Clement		
	Criminal Sentencing 12/9/2014 Jones, Douglas Clement		
	Criminal Conviction 8/20/2014 Jones, Douglas Clement		
O-LA-13-0058-O	SIMCO ELECTRONICS, ET, AL.	10/21/2015	False Statements
Admin Actions:			
	Action Taken 7/8/2015 SIMCO Electronics		
ALLEGATION OF GRANT FRAUD -			
O-DR-13-0067-S	UNIVERSITY RESEARCH CENTERS PROJECT	6/17/2015	Grant Fraud; Violation of NASA Regulations
O-GO-13-0123-O	THE AEROSPACE CORPORATION	3/12/2015	False Claim
O-LB-13-0127-O	ANDERSON -- BOEING / PROCUREMENT OFFICIAL	2/3/2015	Kickbacks; Other Federal Crimes
Admin Actions:			
	Debarment 1/22/2014 GLOBE DYNAMICS INTERNATIONAL, INC.		
	Debarment 12/22/2014 Inland Empire		
	Debarment 10/10/2013 LAVELLE, JEFFREY		
	Debarment 1/9/2015 LAVELLE, JEFFREY		
	Debarment 12/22/2014 BOOZER, WILLIAM PATRICK		
	Debarment 12/22/2014 DIAZ, ROBERT		

	<p>Debarment 12/22/2014 ANDERSON, DEON ELI Suspension 10/10/2013 BOOZER, WILLIAM PATRICK Suspension 10/10/2013 ANDERSON, DEON ELI Suspension 10/10/2013 DIAZ, ROBERT</p> <p>Criminal Results:</p> <p>Criminal Indictment 10/2/2013 ANDERSON, DEON ELI Criminal Sentencing 10/27/2014 ANDERSON, DEON ELI Criminal Conviction 7/18/2014 ANDERSON, DEON ELI Criminal Indictment 10/2/2013 BOOZER, WILLIAM PATRICK Criminal Sentencing 10/27/2014 BOOZER, WILLIAM PATRICK Criminal Conviction 5/9/2014 BOOZER, WILLIAM PATRICK Criminal Indictment 10/2/2013 DIAZ, ROBERT Criminal Sentencing 10/27/2014 DIAZ, ROBERT Criminal Conviction 6/4/2014 DIAZ, ROBERT Criminal Indictment 10/2/2013 LAVELLE, JEFFREY Criminal Sentencing 11/21/2014 LAVELLE, JEFFREY Criminal Conviction 7/28/2014 LAVELLE, JEFFREY</p>	
O-ST-13-0134-O	<p>(b)(6), (b)(7)(C)</p> <p>Admin Actions: Action Taken 1/26/2015 (b)(6), (b)(7)(C)</p> <p>Criminal Results: Criminal Sentencing 11/21/2014 (b)(6), (b)(7)(C)</p>	1/27/2015 Fraud False Claim; False Statements; Timecard
O-GO-13-0171-O	<p>PROCUREMENT IRREGULARITIES - CSSC CONTRACT</p>	4/3/2015 Procurement Irregularities
O-DR-13-0175-O	<p>(b)(6), (b)(7)(C)</p> <p>FOREIGN VISITOR ACCESS CONTROL/SPONSORSHIP CONCERN</p>	9/4/2015 Procurement Irregularities; SBIR/STTR Fraud; Standards of Conduct Violations
O-LA-13-0181-S	<p>Counterintelligence; Export Control Violations; Violation of NASA Regulations</p> <p>Admin Actions: Debarment 10/3/2016 Jobson, Daniel J Debarment 10/3/2016 Woodell, Glenn A</p>	12/15/2015

Action Taken 9/20/2013 Export Control & Interagency Liaison Division				
Criminal Results:				
	Criminal Indictment 10/20/2015 Jobson, Daniel J			
	Criminal Sentencing 11/30/2015 Jobson, Daniel J			
	Criminal Conviction 11/30/2015 Jobson, Daniel J			
	Criminal Indictment 10/20/2015 Woodell, Glenn A			
	Criminal Sentencing 10/26/2015 Woodell, Glenn A			
	Criminal Conviction 10/26/2015 Woodell, Glenn A			
<u>O-GL-13-0248-S</u>	PROACTIVE PROJECT - TARGET ANALYSIS: PROCUREMENT DIVISION, INSTITUTIONAL SERVICES BRANCH	9/24/2015	Proactive/Project Cases	
	PROACTIVE PROJECT - TARGET ANALYSIS: PROCUREMENT DIVISION, RESEARCH AND SPACE OPERATIONS BRANCH	9/25/2015	Proactive/Project Cases	
<u>O-GL-13-0249-S</u>	PROACTIVE PROJECT - TARGET ANALYSIS: PROCUREMENT DIVISION, EXPLORATION SYSTEMS BRANCH	9/28/2015	Proactive/Project Cases	
<u>O-GL-13-0256-S</u>	(b)(6), (b)(7)(C)	2/13/2015	Other Federal Crimes	
<u>O-JS-13-0282-0</u>				
<u>O-LB-13-0344-S</u>	PROACTIVE - IPR & CPI EFFORTS ALLEGED NEGLIGENCE IN PRESERVATION OF A NASA AIRCRAFT	7/21/2015	Non-Criminal Cases	
	Admin Actions:			
	<i>Action Taken 2/24/2015 NASA Office of Strategic Infrastructure SUBJECT UNKNOWN â€“ POSSIBLE VIEWING OF CHILD PORNOGRAPHY</i>	9/9/2015	Computer Crime - Child Pornography	
<u>O-DR-13-0365-S</u>				
<u>O-KE-13-0366-S</u>	PROCUREMENT IRREGULARITIES â€“ KSC GROUND PROCESSING DIRECTORATE	4/9/2015	Procurement Irregularities	
	Admin Actions:			
	<i>Action Taken 4/9/2015 (b)(6), (b)(7)(C)</i>			
<u>O-GO-13-0370-O</u>	COOLCAD ELECTRONICS, LLC	5/7/2015	SBIR/STTR Fraud	

O-GL-13-0371-0	GENERAL NANO, LLC, ET AL.	2/20/2015	False Claim; False Statements; Other Federal Crimes; SBIR/STTR Fraud
O-GO-13-0378-0	(b)(6), (b)(7)(C)	12/22/2015	Theft/Conversion of Gov'n Property or Funds
C-MA-13-0379-0	(b)(6), (b)(7)(C)	4/6/2015	Computer Crime; Computer Crime - Child Pornography; Other Federal Crimes; Other States or Local Crimes; Violation of NASA Regulations
C-DR-13-0416-S	(b)(6), (b)(7)(C)	4/21/2015	Computer Crime - Child Pornography
C-AR-13-0423-S	PROACTIVE PROJECT - Review of ARC P-Card IT Purchases	3/17/2015	Non-Criminal Cases; Proactive/Project Cases; Procurement Irregularities
O-JS-13-0429-S	PROACTIVE PROJECT: REVIEW OF THE BOEING COMPANY BUYERS FOR POTENTIAL KICKBACKS	1/8/2015	Kickbacks
C-GO-13-0439-0	(b)(6), (b)(7)(C)	4/22/2015	Computer Crime; Computer Crime - Data Theft; Computer Crime - Intrusion; Computer Fraud
O-DR-13-0444-P	Criminal Results: Criminal Indictment 5/1/2014 (b)(6), (b)(7)(C) Criminal Sentencing 12/22/2014 (b)(6), (b)(7)(C)	3/6/2015	Counterintelligence; SBIR/STTR Fraud
O-WA-14-0022-HL-O	Zona Technology, Inc.	3/5/2015	False Claim; False Statements; Other States or Local Crimes; Violation of NASA Regulations
O-JP-14-0028-S	THE HAMMERS COMPANY Allegation of Travel Fraud-NASA Juno Project	5/8/2015	Travel Fraud
O-LB-14-0031-O	TRANSITION45 TECHNOLOGIES, INC.	7/6/2015	False Claim; False Statements; SBIR/STTR Fraud
C-JP-14-0078-S	JPL Proactive Investigation	7/28/2015	Computer Crime; Computer Crime - Child Pornography; Computer Crime - Data Theft; Computer Crime - Intrusion; Computer Fraud

<u>O-LA-14-0079-S</u>	PROACTIVE PROJECT: ANALYSIS OF SMALL BUSINESS PREFERENTIAL CONTRACTING ACTIVITIES IMPACTING LARC	1/22/2015	Proactive/Project Cases
<u>O-LA-14-0088-HL-S</u>	WASTE OF GOVERNMENT RESOURCES - Office of Human Capital Management	3/3/2015	Employee Misconduct; Standards of Conduct Violations; Violation of NASA Regulations
<u>O-GO-14-0107-S</u>	<u>(b)(6), (b)(7)(C)</u>	5/1/2015	Procurement Irregularities; Travel Card Fraud; Travel Fraud; Violation of NASA Regulations
	Admin Actions:		
	Action Taken 4/9/2015 (b)(6), (b)(7)(C)	7/21/2015	Cost Mischarging/Defective Pricing/False Claims
<u>O-JS-14-0115-O</u>	DUPLICATE PROPOSALS - SEWP V	10/15/2015	False Claim; Procurement Irregularities
	Admin Actions:		
	Action Taken 10/14/2015 NASA SEWP	9/17/2015	Conflict of Interest
<u>O-JS-14-0139-S</u>	<u>(b)(6), (b)(7)(C)</u>		
<u>O-GO-14-0140-S</u>	THE BOEING COMPANY	11/18/2015	False Claim; Procurement Irregularities
<u>C-MA-14-0147-O</u>	<u>(b)(6), (b)(7)(C)</u>	10/21/2015	Computer Crime - Child Pornography
	Criminal Results:		
	Criminal Indictment 8/1/2014 <u>(b)(6), (b)(7)(C)</u>		
	Criminal Sentencing 7/29/2015 <u>(b)(6), (b)(7)(C)</u>		
	PROACTIVE CASE: IDENTIFY AND REVIEW TOP GRANTS AWARDED BY NSSC IN LOUISIANA AND MISSISSIPPI	1/22/2015	Proactive/Project Cases
<u>O-ST-14-0151-S</u>			
<u>O-GO-14-0153-S</u>	PROACTIVE PROJECT - ECONOMIC CRIME THREAT ASSESSMENT: GODDARD	11/4/2015	Non-Criminal Cases
<u>O-LA-14-0154-S</u>	PROACTIVE PROJECT - TARGET ANALYSIS: PURCHASE CARD PROGRAM	10/29/2015	Proactive/Project Cases

O-ST-14-0161-HL-P	IMPROPER INSPECTIONS AND CERTIFICATIONS	2/24/2015	False Statements; Safety Issues; Violation of NASA Regulations
O-MA-14-0188-P	FRAUDULENT ACTIVITY BY NASA CONTRACTOR EMPLOYEE	6/26/2015	Other Federal Crimes
C-AF-14-0195-P	(b)(6), (b)(7)(C)	2/24/2015	Computer Crime - Data Theft; Counterintelligence; Other Federal Crimes
O-AR-14-0201-HL-S	UNETHICAL ACTIVITY BY NASA EMPLOYEE	10/1/2015	Conflict of Interest; Employee Misconduct; Non-Criminal Cases; Standards of Conduct Violations; Violation of NASA Regulations
O-WA-14-0209-S	PROACTIVE PROJECT - TARGET ANALYSIS: CONSTRUCTION SERVICES TASK ORDERS	5/5/2015	Cost Mischarging/Defective Pricing/False Claims
O-LB-14-0218-S	SUSPECTED MISAPPROPRIATION OF GOVERNMENT PROPERTY	1/30/2015	Theft/Conversion of Gov't Property or Funds
O-GO-14-0224-HL-P	PROCUREMENT IRREGULARITIES - IV&V TESS CONTRACT	1/14/2015	Procurement Irregularities
O-MA-14-0225-P	QUI TAM - SENTIENT CORP, WARD THOMAS	4/28/2015	False Claim; SBIR/STTR Fraud
O-GO-14-0239-HL-S	PROCUREMENT IRREGULARITIES - VALADOR, INC.	3/16/2015	Procurement Irregularities
C-AF-14-0242-P	Possible Disclosure of Sensitive NASA IT Contract Information	3/17/2015	Violation of NASA Regulations
O-LA-14-0245-S	NATIONAL INSTITUTE OF AEROSPACE SBIR FRAUD/CONFLICT OF INTEREST	2/12/2015	Cost Mischarging/Defective Pricing/False Claims
O-KE-14-0248-HL-S		8/25/2015	False Statements; SBIR/STTR Fraud
O-KE-14-0250-HL-P	EDEN CRYOGENICS LLC ALLEGED MISCHARGING BY JSC CONTRACTOR	1/27/2015	False Statements; Grant Fraud; Procurement Irregularities
O-JS-14-0252-P	SUBJECT UNKNOWN: SUSPECTED ITAR VIOLATIONS	3/6/2015	Cost Mischarging/Defective Pricing/False Claims
O-LB-14-0253-S		2/23/2015	Export Control Violations

O-JP-14-0264-S	Alleged Sexual Misconduct by JPL Employee	2/27/2015	Employee Misconduct; Other States or Local Crimes
C-GO-14-0269-P	SUBJECT Unknown (b)(7)(E) .gsfc.nasa.gov Intrusion	4/15/2015	Computer Crime - Intrusion
C-MF-14-0274-S	Allegations of Child Sexual Exploitation	2/9/2015	Computer Crime - Child Pornography; Violation of NASA Regulations
O-MA-14-0282-S	(b)(6), (b)(7)(C)	11/24/2015	Export Control Violations; False Statements
O-JS-14-0283-HL-P	PROCUREMENT IRREGULARITIES: Intuitive Machines	3/10/2015	Conflict of Interest
O-AR-14-0284-HL-S	UNIVERSITY OF CENTRAL FLORIDA IPA	11/20/2015	Employee Misconduct; Standards of Conduct Violations; Violation of NASA Regulations
O-JS-14-0293-O	(b)(6), (b)(7)(C)	7/21/2015	Theft/Conversion of Gov't Property or Funds
Criminal Results: Criminal Indictment 11/10/2014 (b)(6), (b)(7)(C) Criminal Sentencing 7/7/2015(b)(6), (b)(7)(C)			
O-LA-14-0296-P	T&M ENGINEERING, ET AL.	3/18/2015	False Claim; Kickbacks
O-GO-14-0299-S	REQUEST FOR ASSISTANCE - AIP	5/1/2015	Other Federal Crimes
Admin Actions: Debarment 7/30/2014 Allray Inc Debarment 7/30/2014 Jiangsu Allray Inc Debarment 4/27/2015 Deep Blue LLC Debarment 7/30/2014 Huang, Zhenchun Debarment 4/27/2015 Yan, Feng			
O-MA-14-0303-O	GRIFFIN, Dennis Earle	8/4/2015	Travel Fraud
Admin Actions: Action Taken 12/3/2014 Griffin, Dennis Criminal Results: Criminal Indictment 11/24/2014 Griffin, Dennis Criminal Sentencing 7/7/2015 Griffin, Dennis Criminal Conviction 2/23/2015 Griffin, Dennis Criminal Restitution Amt \$15,088.16 Griffin, Dennis			

C-GO-14-0304-S	(b)(6), (b)(7)(C)		7/8/2015	Computer Crime - Child Pornography
C-MA-14-0307-P	Unauthorized Access by Social Engineering	2/18/2015	Computer Crime - Intrusion	
C-JS-14-0309-S	(b)(6), (b)(7)(C)	11/9/2015	Computer Crime - Child Pornography; Employee Misconduct	
O-AR-14-0312-S	ALLEGED FAVORITISM AND CONFLICT OF INTEREST	3/5/2015	Conflict of Interest	
O-GO-14-0320-HL-S	ABUSE OF GOVERNMENT FUNDS/MISCONDUCT		Employee Misconduct; Standards of Conduct Violations; Theft/Conversion of Gov't Property or Funds; Travel Fraud	
O-HS-14-0323-S	INTERNAL - CCD	3/6/2015	Employee Misconduct	
O-MA-14-0327-HL-P	CONFLICT OF INTEREST	2/26/2015	Conflict of Interest; Standards of Conduct	
O-KE-14-0331-P	Admin Actions: <i>Action Taken 11/6/2015 (b)(6), (b)(7)(C)</i>	11/16/2015	Violations	
C-MA-14-0340-P	ALLEGED ILLEGAL RECORDING	2/12/2015	Other Federal Crimes	
O-LB-14-0341-S	Alleged Timecard Fraud by a NASA IT Contractor â€“ The Boeing Company	4/6/2015	False Statements; Timecard Fraud	
O-JS-14-0342-P	SUSPECTED COST MISCHARGING	4/3/2015	Cost Mischarging/Defective Pricing/False Claims	
O-LA-14-0347-HL-S	UNIVERSITY OF HOUSTON SYSTEM (et al) VIOLATION OF NASA REGULATIONS - Taksha University	7/21/2015	False Claim	
C-JP-14-0348-S	Possible Disclosure of Sensitive JPL/NASA Data	4/15/2015	Violation of NASA Regulations	
O-GO-14-0349-HL-S	STANDARDS OF CONDUCT/ETHICS VIOLATIONS	9/2/2015	Computer Crime; Computer Crime - Data Theft	
O-AR-14-0366-HL-S	Admin Actions: <i>Action Taken 4/16/2015 (b)(6), (b)(7)(C)</i>	4/17/2015	Employee Misconduct; Standards of Conduct Violations; Travel Fraud; Violation of NASA Regulations	
	MISMANAGEMENT AND ABUSE	4/27/2015	Conflict of Interest; Employee Misconduct	

O-LB-14-0369-HL-P	CONTRACT FRAUD	3/12/2015	False Claim; False Statements; Other Federal Crimes; Procurement Irregularities; Theft/Conversion of Gov'n Property or Funds
C-AR-14-0372-P	UNKNOWN SUBJECT: Botnet Activity	1/28/2015	Computer Crime - Intrusion
O-LA-14-0373-S	ALLEGED PROHIBITED PERSONNEL PRACTICE	1/12/2015	Non-Criminal Cases
	Admin Actions:		
O-JS-15-0013-S	Action Taken 12/18/2014 Langley Office of Human Capital Mgmt (b)(6), (b)(7)(C)	9/11/2015	Conflict of Interest
	Admin Actions:		
C-KE-15-0019-P	Action Taken 9/9/2015 (b)(6), (b)(7)(C) Action Taken 9/9/2015 NASA Johnson Space Center Advanced HPC (b)(6), (b)(7)(C)	2/18/2015	Computer Crime
C-GO-15-0022-O	ALLEGED FRAUD BY NASA CONTRACTOR EMPLOYEE	9/2/2015	Computer Crime - Child Pornography
O-MA-15-0027-HL-P	Possible Sexual Abuse of a Minor C-MA-15-0030-P	4/21/2015	Cost Mischarging/Defective Pricing/False Claims; Kickbacks; Other Federal Crimes
O-GO-15-0033-HL-S	MISMANAGEMENT - OFFICE OF CHIEF VETERINARIAN ALLEGED FALSE STATEMENT - WOLF CREEK FEDERAL SERVICES	5/12/2015	Computer Crime - Child Pornography; Other Federal Crimes on NASA Facilities; State or Local Crimes on NASA Facilities; Violation of NASA Regulations
O-GL-15-0035-HL-P	VIOLATION OF STANDARDS OF ETHICAL CONDUCT â€“ OUTSIDE ACTIVITIES Admin Actions:	1/12/2015	Employee Misconduct; False Claim
O-GL-15-0043-HL-S	Action Taken 2/12/2015 GRC Office of the Chief Counsel Possible Admissions of the Download of Child Pornography	1/21/2015	False Statements
C-ST-15-0048-P		2/24/2015	Other Non-Criminal; Violation of NASA Regulations
		1/14/2015	Computer Crime - Child Pornography; Other States or Local Crimes

O-LB-15-0049-S	ALLEGATIONS OF INADEQUATE RESPONSE FROM NASA	6/12/2015	Other Non-Criminal
O-AR-15-0051-P	COST MISCHARGING- AEROJET ROCKETDYNE	8/5/2015	Cost Mischarging/Defective Pricing/False Claims
O-KE-15-0052-Q	NORTH, Wayne L.	6/12/2015	False Statements
	Admin Actions: Action Taken 1/21/2015 North, Wayne L		
	Criminal Results:		
	Criminal Indictment 12/11/2014 North, Wayne L		
	Criminal Indictment 1/7/2015 North, Wayne L		
	Criminal Sentencing 6/8/2015 North, Wayne L		
	Criminal Conviction 3/24/2015 North, Wayne L		
C-JP-15-0053-HL-S	ILLEGAL FOOTBALL POOL	1/27/2015	Violation of NASA Regulations
O-WA-15-0056-S	VT GROUP	8/21/2015	Cost Mischarging/Defective Pricing/False Claims
O-WA-15-0057-S	ANTARES ROCKET LAUNCH - SUSPECTED LATE NOTIFICATION OF DANGER ZONE	1/5/2015	Non-Criminal Cases
O-JP-15-0059-P	SUSPECTED TRAVEL FRAUD	6/23/2015	Travel Fraud
O-JS-15-0060-P	SUSPECTED COUNTERFEIT BEARINGS	7/24/2015	Product Substitution
O-WA-15-0061-S	(b)(6); (b)(7)(C)	4/17/2015	Non-Criminal Cases
C-MA-15-0063-S	Unauthorized Access of NASA IT Resources	8/25/2015	Computer Crime; Computer Fraud Safety Issues; Violation of NASA Regulations
O-LB-15-0065-HL-S	IMPROPER RETRIEVAL OF JPL BADGES	1/9/2015	Employee Misconduct; Standards of Conduct Violations; Violation of NASA Regulations
O-LB-15-0069-HL-S	FRATERNIZATION/UNPROFESSIONAL RELATIONSHIPS IN WORKPLACE	1/16/2015	Proactive/Project Cases
O-LB-15-0074-S	PROACTIVE PROJECT: SPACEX	8/28/2015	Export Control Violations; Non-Criminal Cases; Other Non-Criminal
C-AR-15-0075-P	International Transportation of IT Equipment	6/16/2015	Computer Crime - Child Pornography
C-AR-15-0076-Q	HERNAEZ, Arthemuel	9/2/2015	
	Criminal Results:		
	Criminal Indictment 1/19/2015 Hernaez, Arthemuel Panes		
	Criminal Sentencing 6/5/2015 Hernaez, Arthemuel Panes		

Criminal Conviction 5/12/2015 Hernaez, Arthemuel Panes

O-MA-15-0082-P	Alleged Assault of NASA Contract Employee	1/23/2015	Other Federal Crimes on NASA Facilities
O-GL-15-0086-HL-P	PROCUREMENT INTEGRITY VIOLATION - TFOME Contract	7/14/2015	Procurement Irregularities; Violation of NASA Regulations
O-GL-15-0087-HL-P	ARTIUM INC.	4/21/2015	Employee Misconduct; SBIR/STTR Fraud
C-AR-15-0097-P	Ames Research Center Safety Concerns	1/28/2015	Employee Misconduct; Non-Criminal Cases; Other Federal Crimes; Other Federal Crimes on NASA Facilities; Other Non-Criminal; Safety Issues; Standards of Conduct Violations
C-GO-15-0100-HL-P	FRAUD, WASTE AND ABUSE RESEARCH MISCONDUCT - SUSPECTED	2/24/2015	Computer Crime
O-GO-15-0102-HL-S	PLAGIARISM	2/6/2015	Employee Misconduct
O-IA-15-0105-P	UNAUTHORIZED ACCESS BY FOREIGN NATIONAL	1/23/2015	Violation of NASA Regulations
O-GO-15-0106-HL-P	CONCEPTUAL ANALYTICS	7/29/2015	Procurement Irregularities
O-AR-15-0107-HL-P	DIVERTING FUNDS	6/30/2015	Employee Misconduct; Other Federal Crimes; Violation of NASA Regulations
O-IA-15-0108-S	(b)(6), (b)(7)(C)	7/9/2015	Standards of Conduct Violations
Admin Actions:			
O-JS-15-0109-P	Action Taken 7/9/2015 (b)(6), (b)(7)(C)	5/8/2015	Proactive/Project Cases
C-MA-15-0111-P	Suspicious Financial Activity Alleged Downloading of Inappropriate Material	9/1/2015	Computer Crime - Child Pornography; Violation of NASA Regulations
O-KE-15-0113-S	GSA FLEET VEHICLE FUEL THEFT KSC	9/15/2015	Theft/Conversion of Gov't Property or Funds
O-MA-15-0114-O	(b)(6), (b)(7)(C)	12/31/2015	Other Federal Crimes
O-JS-15-0115-HL-S	MISUSE OF FUNDS/RESOURCES/ETHICS VIOLATION	2/25/2015	Employee Misconduct; False Claim; Standards of Conduct Violations

C-MA-15-0117-HL-S	(b)(6), (b)(7)(C)	10/14/2015	Computer Crime; Standards of Conduct Violations; Violation of NASA Regulations
C-GO-15-0118-HL-P	HARASSMENT/INAPPROPRIATE USE OF GOVERNMENT RESOURCES	4/28/2015	Standards of Conduct Violations; Violation of NASA Regulations
O-LA-15-0119-P	(b)(6), (b)(7)(C)	2/6/2015	Employee Misconduct
O-KE-15-0122-Q	(b)(6), (b)(7)(C)	11/30/2015	Computer Crime - Child Pornography
O-AR-15-0127-P	POSSIBLE MISUSE OF NASA FUNDS	8/5/2015	Employee Misconduct; Misuse of NASA Seal/Insignia/Emblem/Name/Acronym; Procurement Irregularities
O-MA-15-0128-O	FREE, Roy Douglas	8/4/2015	Other Federal Crimes on NASA Facilities
Criminal Results:			
Criminal Indictment 2/19/2015 Free, Roy Douglas			
Criminal Indictment 2/19/2015 Free, Roy Douglas			
Criminal Sentencing 5/12/2015 Free, Roy Douglas			
Criminal Conviction 5/12/2015 Free, Roy Douglas			
C-JP-15-0131-P	Nigerian Fraud Ring	9/2/2015	Computer Crime
O-GO-15-0133-HL-S	RAYTHEON COMPANY - FAR DISCLOSURE	4/14/2015	Other Non-Criminal
O-WA-15-0134-HL-S	TIMECARD FRAUD INVOLVING UNION ACTIVITIES	7/1/2015	Timecard Fraud
Admin Actions:			
Action Taken 6/30/2015 Wallops Flight Facility			
O-MA-15-0141-HL-P	FAR DISCLOSURE - BOEING - LABOR MISCHARGING	8/5/2015	Cost Mischarging/Defective Pricing/False Claims; Timecard Fraud
O-WA-15-0142-S	CONTRACT EMPLOYEE MISTREATMENT	2/24/2015	Non-Criminal Cases
O-LA-15-0145-S	PROACTIVE PROJECT: NATIONAL INSTITUTE OF AEROSPACE â€œ ANALYSIS OF COST ACTIVITIES	10/22/2015	Cost Mischarging/Defective Pricing/False Claims
O-ST-15-0148-P	ALLEGATION OF ILLEGAL GRATUITY	3/23/2015	Bribery/Graft
O-ST-15-0149-S	REVIEW OF SBIRS RELATED TO THE UNIVERSITY OF ARKANSAS	11/16/2015	SBIR/STTR Fraud; Violation of NASA Regulations

O-HS-15-0150-S	INTERNAL INVESTIGATION 2015-1	7/23/2015	Employee Misconduct
O-GO-15-0151-HL-S	LOCKHEED MARTIN â€œ LABOR MISCHARGING (FAR DISCLOSURE)	5/8/2015	Cost Mischarging/Defective Pricing/False Claims
C-AR-15-0152-P	(b)(6), (b)(7)(C)	10/6/2015	Computer Crime
O-JP-15-0155-P	Allegation of Missing Property	6/22/2015	Computer Crime - Data Theft; Theft/Conversion of Govn't Property or Funds
O-LA-15-0156-HL-P	FRAUD	4/1/2015	Other Federal Crimes
O-ST-15-0159-HL-P	CONFLICT OF INTEREST â€œ HEWLETT PACKARD ENTERPRISE SERVICES	4/15/2015	Conflict of Interest
C-GO-15-0160-P	INAPPROPRIATE USE OF GOVERNMENT RESOURCES	5/19/2015	Computer Crime
O-GO-15-0164-P	(b)(6), (b)(7)(C)	3/13/2015	Other Non-Criminal
O-JP-15-0171-P	THEFT OF GOVERNMENT PROPERTY	9/11/2015	Bribery/Graft; Employee Misconduct; Public Corruption; Theft/Conversion of Govn't Property or Funds
C-MA-15-0172-P	Suspected Compromise of NASA Account at Google	9/2/2015	Computer Crime; Computer Crime - Data Theft; Computer Crime - Intrusion
C-JS-15-0173-P	Potential Data Breach Regarding Astronaut Medical Information	9/17/2015	Other Non-Criminal
C-JS-15-0174-P	False Identity Used to Request ITAR Controlled Software	11/9/2015	Computer Crime
O-LB-15-0179-P	SUBJECT UNKNOWN: SUSPECTED EXPORT CONTROL VIOLATIONS	6/12/2015	Export Control Violations
O-LA-15-0181-HL-S	RESEARCH MISCONDUCT - PLAGIARISM (OPTICAL IMAGING)	5/6/2015	Other Federal Crimes
Admin Actions:			
C-JP-15-0183-P	Action Taken 4/27/2015 (b)(6), (b)(7)(C)	9/30/2015	Theft/Conversion of Govn't Property or Funds
C-JP-15-0191-P	UNKNOWN SUBJECT-JBOSS SERVER DENIAL OF SERVICE (DoS) ATTACK	9/30/2015	Computer Crime - Intrusion

<u>O-GO-15-0199-P</u>	<u>(b)(6), (b)(7)(C)</u> NISTLab SAI, et al.	11/18/2015	Export Control Violations; False Claim; False Statements; SBIR/STTR Fraud
<u>O-IA-15-0201-P</u>		10/7/2015	False Claim; False Statements
Admin Actions:			
<u>O-GO-15-0203-S</u>	SOLAR SYSTEM WORKINGS PROGRAM SUBJECT UNKNOWN: SUSPECTED ITAR VIOLATION	7/9/2015	Other Non-Criminal
<u>O-LB-15-0206-P</u>	FALSE REPORTING OF SCIENTIFIC DATA	7/24/2015	Export Control Violations
<u>O-LB-15-0207-P</u>	Potential Illegal Activity and Use of BitTorrent	8/7/2015	Violation of NASA Regulations
<u>C-WH-15-0216-P</u>	Alleged Misconduct by SAIC on the NICS contract	7/9/2015	Other Federal Crimes on NASA Facilities
<u>O-MA-15-02229-P</u>	<u>(b)(6), (b)(7)(C)</u>	7/21/2015	Employee Misconduct
<u>C-AR-15-0230-P</u>		6/16/2015	Computer Crime - Child Pornography
<u>O-MA-15-0231-O</u>	<u>(b)(6), (b)(7)(C)</u>	11/2/2015	Theft/Conversion of Gov'n Property or Funds
<u>O-GO-15-0235-S</u>	ALLEGED NASA TECHNOLOGY MISUSE	5/22/2015	Non-Criminal Cases; Other Federal Crimes
<u>O-KE-15-0236-O</u>	ALLEGED FIRE SUPPRESSION SYSTEM INSTALLATION NEGLIGENCE	11/16/2015	False Claim; Product Substitution; Safety Issues
<u>C-MA-15-0238-P</u>	Multiple Offenses and Disregard for NASA Policies by a MAF Tenant	11/17/2015	Non-Criminal Cases; Violation of NASA Regulations
<u>C-MA-15-0239-P</u>	Browsing NSFW Websites at MSFC	11/25/2015	Non-Criminal Cases; Violation of NASA Regulations
<u>O-KE-15-0247-HL-S</u>	WHISTLEBLOWER RETALIATION - KENNEDY SPACE CENTER	12/7/2015	Whistleblower Retaliation
<u>O-GO-15-0248-S</u>	PROACTIVE PROJECT - REVIEW OF GODDARDâ€™S PURCHASE CARD PROGRAM	10/27/2015	Proactive/Project Cases
<u>O-JS-15-0249-HL-S</u>	TIMECARD FRAUD BY NASA EMPLOYEES POSSIBLE FRAUD INVOLVING SPACE ACT AGREEMENTS AND MISUSE OF NASA INSIGNIA	9/17/2015	Employee Misconduct; Timecard Fraud; Violation of NASA Regulations
<u>O-AR-15-0256-S</u>		10/1/2015	Misuse of NASA Seal/Insignia/Emblem/Name/Acronym; Procurement Irregularities

O-AR-15-0257-HL-P	TIMECARD FRAUD/CONFLICT OF INTEREST	8/28/2015	Conflict of Interest; Timecard Fraud
O-GO-15-0260-S	PROACTIVE PROJECT - GODDARD FUEL DIVERSION/DELIVERY FRAUD	9/9/2015	Theft/Conversion of Gov'n't Property or Funds
O-LB-15-0265-P	SUBJECT UNKNOWN: SUSPECTED MISAPPROPRIATION OF GOVERNMENT PROPERTY	9/18/2015	Theft/Conversion of Gov'n't Property or Funds
O-GO-15-0266-HL-S	POTENTIAL CONFLICT OF INTEREST	8/21/2015	Conflict of Interest; Violation of NASA Regulations
O-KE-15-0272-Q	(b)(6), (b)(7)(C)	12/3/2015	State or Local Crimes on NASA Facilities
O-MA-15-0274-S	Admin Actions: Action Taken 7/15/2015 (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)	8/11/2015	Employee Misconduct
O-MA-15-0276-HL-P	THEFT OF NASA PROPERTY	7/13/2015	Theft/Conversion of Gov'n't Property or Funds
O-GL-15-0281-HL-P	UNAUTHORIZED EXPORT CONTROL CONCERN	12/31/2015	Export Control Violations; Violation of NASA Regulations
O-GO-15-0283-HL-S	RESEARCH MISCONDUCT/PLAGIARISM	8/21/2015	Non-Criminal Cases
O-GO-15-0284-P	PRECIOUS BULLIONS	8/14/2015	Misuse of NASA Seal/Insignia/Emblem/Name/Acronym; Other Federal Crimes
O-IP-15-0291-P	CONVERSION OF GOVERNMENT PROPERTY	9/1/2015	Theft/Conversion of Gov'n't Property or Funds
O-LA-15-0294-S	LEAD REQUEST: ALLEGED HARASSMENT/STALKING	7/14/2015	Other States or Local Crimes
O-LA-15-0296-P	WISE COUNTY CLERK OF COURTS	7/14/2015	False Claim
O-ST-15-0299-HL-P	PROCUREMENT INTEGRITY CONCERN	10/14/2015	Procurement Irregularities; Source Evaluation Board (SEB) Fraud; Violation of NASA Regulations
O-KE-15-0306-P	ALLEGED FALSE CLAIMS ON 8A SET ASIDE CONTRACT	11/24/2015	False Claim; False Statements
O-JS-15-0308-HL-P	THREATS AND INTIMIDATION - WHITE SANDS TEST FACILITY	11/2/2015	Employee Misconduct; Standards of Conduct Violations

<u>O-JS-15-0315-P</u>	Stolen Laptop			Possession of Access Devices (Credit Card Numbers/Computer Passwords); State or Local Crimes on NASA Facilities
<u>O-WA-15-0319-S</u>	ALLEGED UNSAFE NASA C-130 AIRCRAFT â€“ WALLOPS	12/17/2015	7/31/2015	Safety Issues
<u>O-GO-15-0321-S</u>	PRESIDIO NETWORKED SOLUTIONS		8/5/2015	Cost Mischarging/Defective Pricing/False Claims
<u>C-MA-15-0323-HL-P</u>	POSSIBLE VIEWING OF CHILD PORN SITES	11/30/2015		Computer Crime - Child Pornography
<u>O-AR-15-0329-P</u>	Teledyne (Qui Tam)	12/7/2015		False Claim
<u>O-ST-15-0335-P</u>	ALLEGED ESPIONAGE RELATED TO A NASA GRANT	8/31/2015		Export Control Violations
<u>C-GO-15-0339-S</u>	Internal Admin Investigation -NASA OIG	12/14/2015		Employee Misconduct
<u>O-GO-15-0343-S</u>	ALLEGED COST MISMANAGEMENT - SGSS CONTRACT	8/21/2015		Non-Criminal Cases
<u>C-AR-15-0344-P</u>	(b)(6) (b)(7)(C)	9/9/2015		Computer Fraud
				Cost Mischarging/Defective Pricing/False Claims; False Claim; Other Federal Crimes
<u>O-GO-15-0349-P</u>	ALLEGED COST MISCHARGING	9/2/2015		
<u>O-GO-15-0351-HL-S</u>	ALLEGED DRUG USE AND TIME CARD FRAUD	9/14/2015		Employee Misconduct
<u>O-LA-15-0355-S</u>	HEALTH CARE BENEFITS IRREGULARITIES	9/14/2015		Non-Criminal Cases
<u>O-MA-15-0359-HL-S</u>	RESEARCH MISCONDUCT - EXPLORATION TECHNOLOGY DEVELOPMENT PROGRAM	12/15/2015		Other Non-Criminal
<u>O-HS-15-0364-S</u>	INTERNAL INVESTIGATION â€“ 2015-2	11/4/2015		Employee Misconduct; Timecard Fraud
<u>O-LA-15-0373-S</u>	PROCUREMENT IRREGULARITIES - LAMPS CONTRACT	9/25/2015		Procurement Irregularities
<u>O-GO-15-0385-P</u>	FOREIGN VISTOR ACCESS CONCERN	9/30/2015		Export Control Violations; Violation of NASA Regulations

O-AR-16-0001-HL-P	TRAVEL IMPROPRIETY	10/26/2015	Non-Criminal Cases; Travel Fraud
O-JS-16-0009-HL-P	POST EMPLOYMENT CONFLICT OF INTEREST	10/30/2015	Conflict of Interest
O-GO-16-0010-HL-P	COMMONWEALTH APPLIED SILICA TECHNOLOGIES	10/14/2015	Other Non-Criminal; Procurement Irregularities
O-AR-16-0015-S	POSSIBLE MISUSE OF NASA'S NAME AND AFFILIATION	11/13/2015	Misuse of NASA Seal/Insignia/Emblem/Name/Acronym
O-GL-16-0017-P	RECOVERED GRC EQUIPMENT â€“ LAPTOP COMPUTER	10/27/2015	Non-Criminal Cases
O-GO-16-0027-HL-S	NATIONAL CENTER FOR DEFENSE MANUFACTURING AND MACHINING	11/10/2015	Procurement Irregularities
O-GO-16-0032-HL-S	EMPLOYEE MISCONDUCT - SBIR/STTR PROGRAM OFFICE	12/7/2015	Employee Misconduct; Non-Criminal Cases; Procurement Irregularities; Violation of NASA Regulations
O-GO-16-0036-HL-S	DISCLOSURE OF PROCUREMENT INFORMATION	12/22/2015	Conflict of Interest; Other Non-Criminal
O-GO-16-0059-P	POSSIBLE ITAR VIOLATION	12/3/2015	Export Control Violations

Total Records: 232